

Appendix 1 - Audit Plan Monitoring 2021/22
Progress as at November 2021

	Service	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High Priority Recs	Medium Priority Recs	Low Priority Recs
Quarter 1 and 2										
Qualis Group Governance - c/f from 2020/21	Chief Executive	12	Final report	■	■	■	substantial	0	2	4
Housing H&S – gas safety - c/f from 2020/21	Housing and Property	15	Final report	■	■	■	substantial	0	3	1
KFC: Payroll - c/f from 2020/21	Corporate Services	12	Final report	■	■	■	substantial	0	0	0
Council buildings and depots H&S compliance - c/f from 2020/21	Business Services	10	Final report	■	■	■	moderate	0	6	3
Contract management - c/f from 2020/21	Contracts and Technical	20	Draft report	■	■					
KFC - Debtors	Customer Services	15	In progress	■						
IT Major Incident Management	Corporate Services	8	In progress	■						
Strategic Governance - Qualis Management	Chief Executive	10	Scoping							
Qualis Management KPIs and payment mechanisms	Chief Executive	10	Scoping							
Housing Repairs- Qualis Management	Chief Executive	10	Scoping							
Quarter 3 and 4										
Feeder systems and reconciliations	Corporate Services	15	Scoping							
Safeguarding		10								
Staff Recruitment	Corporate Services	15								
KFC: Housing Benefits	Customer Services	15								
Leisure Management contract	Contracts and Technical	12								
Town centre projects	Economic Development	20								
Council housebuilding	Housing and Property	15								
Contract management	Contracts and Technical	20								
Contractor Health and Safety	Contracts and Technical	15								
KFC - Treasury Management	Corporate Services	10								
Section 106 agreements	Planning Services	12								
							TOTAL	0	11	8

Key
c/f = carried forward
H&S = Health and Safety
KFC = Key Financial Controls