

Appendix 1 - Audit Plan Monitoring 2018-19

Progress as at November 2018

	Directorate	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High Priority Recs	Medium Priority Recs	Low Priority Recs
Report to September Audit and Governance Committee										
Debtors	Resources	12	Final Report	■	■	■	Substantial	0	1	2
Report to November Audit and Governance Committee										
Equality Impact Assessments	Corporate	12	Final Report	■	■	■	Moderate	0	3	1
Governance - St. John's Road	Neighbourhoods	8	Draft Report	■	■		Limited	1	5	0
Off Street Parking	Neighbourhoods	12	Draft Report	■	■		Moderate	0	4	1
Creditors	Resources	12	Draft Report	■	■		Moderate	0	2	4
IT Software Licensing	Resources	10	Draft report	■	■		Moderate	0	4	1
Report to January Audit and Governance Committee										
IT Systems Access and Mobile Working	Resources	10	In Progress	■						
Code of Conduct - Gifts and Hospitality	Corporate	10	In Progress	■						
Right to Buy	Communities	10	Scoping							
Business Rates / Council Tax	Resources	18	Scoping							
Procurement - Contract Register and GDPR	Resources	15								
Access to Housing	Communities	20								
Business Continuity Planning	Neighbourhoods	12								
Report to March Audit and Governance Committee										
Health & Safety - Corporate	Neighbourhoods	12								
Planning Applications	Governance	10								
Safeguarding	Communities	12								
S106 agreements	Governance	10								
Performance Management - Stronger Council	Corporate	20								
Report to June/July Audit and Governance Committee										
Project - Council Housebuilding	Communities	10								
HR - starters, leavers and movers	Resources	5								
Potential Deferrals to 2019/20										
Business Rates - Council wide approach	Resources	15	Is a separate audit to the Key Financial Control audit currently taking place							
North Weald Airfield	Neighbourhoods	16	Establishment review							
Joint Working - Museum Services	Communities	12	Scoping meeting has not highlighted any areas of concern or weakness							

Economic Development	Neighbourhoods	14	Economic Development Strategy delayed
Income - Commercial Rents	Neighbourhoods	15	Last audited in March 2016 and awarded substantial assurance